

INSTRUCTIONS

Dear Sir/Madam,

To initiate online trading by registered client, please follow these steps:

Step 1: Send Scanned Documents

1. Take a printout of the attached document.
2. Fill in Name & Client Code, and sign (at three places).
3. Scan the completed pages.
4. Send the scanned documents via WhatsApp to +91 7980194970.

Step 2: Courier Original Documents

Please courier/Post the original signed documents to:

To,
Compliance Department
VCK Share & Stock Broking Services Limited
10 Wood Street, 3rd Floor
Kolkata – 700016

(Please Mentioned Subject **Online Trading** on Envelop)

Additional Information

Online trading will be activated within 24 hours of receiving scanned documents.

Important Note

If original documents are not received within 7 days, your online access will be revoked, and trading will only be possible through phone calls.

For queries, call: 033 40099915/18

For technical support, call: 033 40099969

INTERNET BASED TRADING
TRADING MEMBER CLIENT AGREEMENT

This agreement is made and executed at _____ this _____ day of _____ 20____ between **VCK SHARE & STOCK BROKING SERVICES LIMITED**, a Body Corporate, incorporated under the Companies Act, 1956 (Member of the **NATIONAL STOCK EXCHANGE OF INDIA LIMITED & BSE LIMITED**, with **SEBI Registration No. INZ000215030**), hereinafter called "**MEMBER**" and having its **Registered Office at 16, India Exchange Place, Room 19, First Floor, Kolkata - 700001** and carrying on business from its **Corporate Office at 10 Wood Street, Kolkata - 700016 AND MR/MS./M/S.** _____
Client Code _____ an individual/a Company/a Trust/a Firm or any other Body duly formed and registered under the relevant Act, hereinafter called the "**CLIENT**", having his/her/its residence/registered Office at _____

SIGNATURE OF THE CLIENT

WITNESSETH :

WHEREAS the **MEMBER** is registered as **TRADING MEMBER** of National Stock Exchange of India Limited with **SEBI Registration No. INZ000215030**.

WHEREAS the **CLIENT** is desirous of investing/trading in those Securities/F&O Contracts/other Instruments admitted to dealings on the Exchange as defined in the Rules, Bye-laws, Regulations of the Exchange and Circulars issued there under from time to time; and

WHEREAS the **CLIENT** has satisfied itself of the capacity of the **MEMBER** to deal in Securities and/or F&O Contracts and wishes to execute his/her/its orders through the **MEMBER** and the **CLIENT** shall from time to time, continue to satisfy himself/herself/itself of such capability of the **MEMBER** before executing orders through the **MEMBER**; and

WHEREAS the **MEMBER** has, satisfied itself and shall continuously satisfy itself about the genuineness and financial soundness of the **CLIENT** and investment/trading objectives relevant to the services to be provided; and

WHEREAS the **MEMBER** has taken steps and shall take steps to make the **CLIENT** aware of the precise nature of the **MEMBER's** liability for business to be conducted, including any limitations, the liability and the capability in which the **MEMBER** acts; and

In consideration of the mutual understanding as set forth in this Agreement, the parties thereto have agreed to the following terms and conditions :

1. The provisions of this Agreement shall always be subject to Government Notifications, any Rules, Regulations and Guidelines issued by SEBI and Stock Exchange Rules, Regulations and Bye-Laws that may be in force from time to time.
2. In the event of death or insolvency of the **CLIENT** or his/her/its otherwise becoming incapable of receiving and paying for or delivering or transferring securities which the **CLIENT** has ordered to be bought or sold, **MEMBER** may close out the transaction of the **CLIENT** and the **CLIENT** or his/her/its legal representative shall be liable for any losses, costs and be entitled to any surplus which may result there from.
3. The Agreement entered into between the **MEMBER** and the **CLIENT** shall stand terminated by mutual consent of the parties by giving at least one month written notice. Such cancellation or termination shall not have any



SIGNATURE OF THE CLIENT

effect on transaction executed before the date of such notice of termination and the parties shall enjoy the same rights and shall have same obligations in respect of such transactions.

4. The instructions issued by an Authorized Representative of the **CLIENT** shall be binding on the **CLIENT** in accordance with the letter authorizing the said representative to deal on behalf of the **CLIENT**.
5. The **CLIENT** is aware that authentication technologies and strict security measures are required for the Internet Trading through order routed system and undertakes to ensure that the password of the **CLIENT** and/or his Authorized Representative are not revealed to any third party.
6. The **CLIENT** agrees that the **MEMBER** shall not be liable or responsible for non-execution of the orders of the **CLIENT** due to any link/system failure at the **CLIENT/MEMBERS/EXCHANGE** end.
7. The Stock Exchange may cancel a trade suo-moto without giving any reason thereof. In the event of such cancellation, MEMBER shall be entitled to cancel relative contract(s) with the CLIENT.
8. The MEMBER shall also send the Order/Trade Confirmation Slip through E-mail to the CLIENT at his request, within _____ (time period as specified by the CLIENT) from time to time of execution of order/trade on the NEAT System, as the case may be. The CLIENT agrees that the information sent by MEMBER by E-mail is deemed to be a valid delivery of such information by the MEMBER.
9. The CLIENT is aware that the MEMBER has provided on the website a facility for reconfirmation of orders, which are larger than that specified by the MEMBER's Risk Management, by the MEMBER and is also aware that the MEMBER has the discretion to reject the execution of such orders based on his/her/its Risk perception.
10. The MEMBER and the CLIENT are aware of the provisions of Bye-Laws, Rules and Regulations of the Exchange relating to resolution of disputes/differences through the mechanism of arbitration provided by the Exchange and agree to abide by the said provisions.
11. All trades, transactions and contracts are subject to the Bye-Laws, Rules and Regulations of the Exchange and shall be deemed to be and shall take effect as wholly made, entered into to be performed in the city of Kolkata and the parties to such trade shall be deemed to have submitted to the jurisdiction of the Courts in Kolkata for the purpose of giving effect to the provisions of the Rules and Regulations of the Exchange.

IN WITNESS THEREOF, the parties to this Agreement have caused these presents to be executed as of the day and year first above written.

The Client's Signature/Authorized Signatory :

For VCK SHARE & STOCK BROKING SERVICES LIMITED



Authorised Signatory/Director

Signed by :

Signed by :

Title :

Title :

Name of the Client

Witness :

Witness :

1. Name :

1. Name :

Address :

Address :

Signature :

Signature :

2. Name :

2. Name :

Address :

Address :

Signature :

Signature :